

## **Alaric Securities OOD**

### **Privacy Policy**

In order to sign a contract and to open and maintain client accounts, we obtain and hold personal information. This document was prepared in accordance with the requirements of the General Data Protection Regulation and Personal Data Protection Act.

The purpose of this document is to explain how we process your personal data and what are your fundamental rights and obligations under the GDPR.

#### **What sort of information do we need and why?**

In the course of opening an account and provision of services, we need to collect information about:

- your identification data such as name, address, ID document, date of birth, contact details and other similar information, that will enable us to establish your identity;
- information about your financial situation, occupation, experience and knowledge in order to assess whether our services are appropriate to you.

In some cases, we may use your data although you are not our client, e.g. if you are a beneficial owner or a director of our corporate client.

We will request this information from you through our application forms, and also use our own records and information from other sources where applicable. If you do not provide necessary information, we may be unable to provide you with the service you have requested or to continue providing it.

#### **How will this information be used?**

We collect your data in order to fulfill our legal obligations, to fulfill our contractual obligations in connection to our legitimate interest in the services we provide, to enhance the services offered to you and to our customers in general.

We will not sell your personal data, but it may be disclosed to:

- Any courts, regulatory or other competent authorities – in compliance with or as it is required by law;
- Other companies within the Alaric Securities group;
- Other third-party service providers we need to carry out our activity;
- Anyone you may authorise, or who may be authorised under the terms of our contract.

We will require any organisation to whom we disclose your information or who may obtain it on our behalf to ensure its confidentiality, and to handle it in accordance with the General Data Protection Regulation and Personal Data Protection Act.

#### **How will we store your confidential information?**

Your information will be held on our secure computer systems and paper files. We have in place systems and procedures to prevent unauthorised access, improper modification or disclosure, misuse or loss of information.

#### **How long we will store your information?**

We need to hold your information for some time after you have given it to us, even if we no longer have a relationship with you. How long we will store your data depends on the statutory regulatory terms

applicable to our business. Once we consider that your information is no longer needed, we will destroy it.

### **Your rights under GDPR**

According to GDPR you may:

- request a copy of the personal information we hold about you;
- ask us to amend any factual inaccuracies;
- object to the processing of your personal data for the purposes of legitimate interest;
- object to the processing of your personal data for direct marketing purposes;
- request erasure of personal data, in the extend provided by applicable law.

### **Complaints**

If you consider that we have breached our obligations under the General Data Protection Regulation and Personal Data Protection Act, or have otherwise failed to respect your confidentiality, you can complain to us by using the contact details below.

Alaric Securities OOD

Address: 8<sup>th</sup> floor, Perform Business Center, 2 Pozitano square, Sofia 1000

Email: [info@alaricsecurities.com](mailto:info@alaricsecurities.com)

Telephone: +359 2 439 8150

If you are not satisfied with our response to any complaint, you may contact the Personal Data Protection Commission:

Email: [kzld@cpdp.bg](mailto:kzld@cpdp.bg)

Website: [www.cpdpc.bg](http://www.cpdpc.bg)

Address: 2 Prof. Tsvetan Lazarov str., Sofia 1592